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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/759,435	01/11/2001	William M. Raveis IV	455850.0025 (HLS-123)

CONFIRMATION NO. 9969

## FORMALITIES LETTER



\*OC000000006105687\*

CUMMINGS & LOCKWOOD  
Granite Square  
700 State Street  
P.O. Box 1960  
New Haven, CT 06509-1960

Date Mailed: 05/23/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- Total additional claim fee(s) for this application is \$522.
  - \$522 for 49 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 652.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/20/2001 BNGUYEN1 00000145 09759435

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Docket No.: 455850025 (HLS-123)

PATENT

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re PATENT application of:

William M. Raveis, Jr.

Serial No.: 09/759,435

Filed: January 11, 2001

)  
) Examiner: Unassigned  
)  
)  
) Group Art Unit: 2161  
)  
)

For: **SYSTEM AND METHOD FOR MANAGING  
REAL ESTATE TRANSACTIONS**

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application, enclosed please find the following: a copy of the Notice to File Missing Parts of Nonprovisional Application; the executed Declaration, Power of Attorney, and Petition; Check for \$130.00; and Postcard in connection with the above-identified application.

It is noted that the Patent Application Transmittal Letter submitted with the present application calculated the Patent Application Filing Fee as \$1,672.00. The Filing Fee was paid as follows: \$1,150.00 was paid by Check No. 119879 and \$522.00 was authorized to

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: BOX MISSING PARTS, Commissioner for Patents, Washington, D.C. 20231 on the date indicated below.

Date: June 7, 2001

  
Beth L. Parmelee

be charged to Deposit Account No. 11-0231, making a total of \$1,672.00. In addition to the enclosures listed on the first page of this Response, a copy of each of the following documents is being enclosed as evidence of our having paid the correct filing fee at the time the present application was filed: the Patent Application Transmittal Letter, a copy of the Certificate of Express Mailing, and a copy of Check No. 119879.


Therefore, with respect to the statements that: "Total additional claim fee(s) for this application is \$522." and "The balance due by applicant is \$652.", we do not agree. It is submitted that the full filing fee of \$1,672.00 was correctly paid at the time of filing. If \$522.00 was not charged to Deposit Account No. 11-0231 at the time of filing, the Commissioner is again authorized to charge \$522.00 to Deposit Account No. 11-0231. Consequently, we believe a total of \$130.00 is currently due.

As stated in the first paragraph of this Response, a check for \$130.00 is being enclosed to fulfill the requirement of a "late filing fee or oath or declaration surcharge".

Applicant believes that all requirements have now been met, and the application is in condition for examination.

Respectfully submitted,

Date: June 7, 2001

  
George N. Chaclas  
Reg. No. 46,608  
CUMMINGS & LOCKWOOD  
Four Stamford Plaza  
P.O. Box 120  
Stamford, CT 06904  
(203) 351-4103

# PATENT APPLICATION TRANSMITTAL LETTER

(Large Entity)

Docket No.

455850.0025 (HLS-123)

JUN 18 2001

PATENT & TRADEMARK OFFICE

TO THE ASSISTANT COMMISSIONER FOR PATENTS

Transmitted herewith for filing under 35 U.S.C. 111 and 37 C.F.R. 1.53 is the patent application of:

**RAVEIS, William M., Jr.**

For: **SYSTEM AND METHOD FOR MANAGING REAL ESTATE TRANSACTIONS**

Enclosed are:

- ☒ Certificate of Mailing with Express Mail Mailing Label No. **EL661524612US**
- ☒ Fourteen (14) sheets of drawings.
- ☐ A certified copy of a \_\_\_\_\_ application.
- ☒ Declaration ☐ Signed. ☐ Unsigned.
- ☒ Power of Attorney
- ☐ Information Disclosure Statement
- ☐ Preliminary Amendment
- ☐ Other:

## CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
<b>Total Claims</b>	69	- 20 =	49	x \$18.00	\$882.00
<b>Indep. Claims</b>	4	- 3 =	1	x \$80.00	\$80.00
<b>Multiple Dependent Claims (check if applicable)</b> <input type="checkbox"/>					\$0.00
<b>BASIC FEE</b>					\$710.00
<b>TOTAL FILING FEE</b>					\$1,672.00

- ☒ A check in the amount of **\$1,150.00** to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. **11-0231** as described below. A duplicate copy of this sheet is enclosed.
  - ☒ Charge the amount of **\$522.00** as filing fee.
  - ☒ Credit any overpayment.
  - ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
  - ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: **January 11, 2001**

*George Chaclas*  
Signature

George N. Chaclas, Reg. No. 46,608  
CUMMINGS & LOCKWOOD  
Granite Square, 700 State Street  
P. O. Box 1960  
New Haven, Connecticut 06509-1960

cc:

Tele: (203) 351-4103



Docket No.: 455850.0025 (HLS-123)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re PATENT application of:	)	
William M. Raveis, Jr.	)	Examiner: Unassigned
Serial No.: Unassigned	)	
Filed: January 11, 2001	)	Art Group: Unassigned
For: <b>SYSTEM AND METHOD FOR MANAGING REAL ESTATE TRANSACTIONS</b>	)	

**CERTIFICATE OF EXPRESS MAILING**

Express Mailing Label No.: **EL661524612US**  
Date of Deposit: January 11, 2001

I hereby certify that the papers enclosed herewith: patent application (Specification, 69 Claims, Abstract, 14 Sheets of Drawings, and Declaration and Power of Attorney [unsigned]), along with a Patent Application Transmittal Letter, a check in the amount of \$1,150.00 for the Patent Application Filing Fee, authorization to charge \$522.00 of the filing fee to Deposit Account No. 11-0231, and a stamped, self-addressed return postal card are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and are addressed to: Box Patent Application, Commissioner for Patents, Washington, D.C. 20231.

  
Beth L. Parmelee

011001A

01/10/2001

\$1,150.00

119879



Commissioner of Patents and  
Trademarks

119879

\$1,150.00\*

**CUMMINGS & LOCKWOOD**  
EXPENSE / DISBURSEMENT ACCOUNT

**FLEET**  
01672 ONE ATLANTIC ST. OFFICE  
STAMFORD, CONNECTICUT 06901  
51-57-119

119879

Security features included. Details on back.



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THE SUM \*\*\*1,150 DOLLARS AND 00 CENTS

DATE	AMOUNT
01/11/2001	\$1,150.00*

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Trademarks

2 MANUAL SIG.'S REQ. CHECKS OVER \$10,000.00  
1 MANUAL SIG. OVER \$1000.00

⑈119879⑈ ⑆011900571⑆ 00003 09184⑈

**CUMMINGS & LOCKWOOD**

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01/10/2001

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\$1,150.00

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